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FORM PTO-1390 REV 5-93 INT & TRADEMARK OFFICE		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		GEI-067 U.S. APPLICATION NO. 01/284,147
INTERNATIONAL APPLICATION NO PCT/FR97/01792	INTERNATIONAL FILING DATE October 8, 1997	PRIORITY DATE CLAIMED October 8, 1996
TITLE OF INVENTION HORMONAL COMPOSITION CONSISTING OF AN ESTROGEN COMPOUND AND OF A PROGESTATIONAL COMPOUND		
APPLICANT(S) FOR DO/EO/US LANQUETIN et al		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li><li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED</li><li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>		
Items 11. to 16. below concern other document(s) or information included:		
<ol style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li><li>14. <input type="checkbox"/> A substitute specification.</li><li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>16. <input checked="" type="checkbox"/> Other items or information: Amended Claims (2 pages) with English Translation</li></ol>		

09/284147		INTERNATIONAL APPLICATION NO PCT/FR97/01792		ATTORNEY'S SECRET NUMBER GET-067	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE 11-11	
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
Search Report has been prepared by the EPO or JPO.....				\$ 970.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482)					
.....				\$ 640.00	
No international preliminary examination fee paid to USPTO (37 CFR 1.482)					
but international search fee paid to USPTO (37 CFR 1.445(a)(2))				\$ 510.00	
Neither international preliminary examination fee (37 CFR 1.482) nor					
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....				\$ 590.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482)					
and all claims satisfied provisions of PCT Article 33(2)-(4).....				\$ 90.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30					
months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 -		X \$22.00	\$	
Independent Claims	-3 -		X \$74.00	\$	
Multiple dependent claims(s) (if applicable)			+ \$230.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 970.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement				\$	
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 970.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30					
months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
TOTAL NATIONAL FEE =				\$ 970.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be					
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1010.00	
				Amount to be:	
				refunded \$	
				charged \$	

- a. ☒ A check in the amount of \$1010.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2275. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Biérman, Muserlian and Lucas  
600 Third Avenue  
New York, NY 10016

SIGNATURE

Charles A. Muserlian

NAME

19,683

REGISTRATION NUMBER

80 Rec'd PCT/PTO 07 APR 1999

Our Ref.: GEI-067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
LANQUETIN et al :  
PCT/FR97/01792 : PCT Date: October 8, 1997  
Serial No.: :  
Filed: Concurrently Herewith :  
For: HORMONAL...COMPOUND :  
600 Third Avenue  
New York, NY 10016

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend this application as follows:

IN THE AMENDED CLAIMS:

Amended claim 3, line 1, cancel "or claim 2".

Amended claim 4, line 1, cancel "one of claims 1 to 3" and  
insert --claim 1--.Amended claim 9, line 1, cancel "claims 1 and 8" and insert  
--claim 1--.

Cancel claims 11 to 15 and add the following claims.

--16. A method of treating estrogenic deficiencies in post-menopausal women comprising orally administering to post-menopausal women estrogenically stimulating amount of a composition of claim 1.--

--17. A method of treating osteoporosis and cardiovascular illnesses in post-menopausal women comprising orally administering to post-menopausal women a composition of claim 1 in an amount sufficient to treat said conditions.--

--18. A method of stopping ovulation in women comprising orally administering to women during their ovulation period an amount of a composition of claim 1 to stop ovulation.--

--19. The method of claim 16 wherein the composition is administered continuously.--

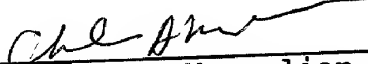
--20. The method of claim 16 wherein the composition is administered intermittently.

#### REMARKS

The amendment is submitted to remove multiple dependency from the claims and to present method of use claims that conform to the

American practice.

Respectfully submitted,  
BIERMAN, MUSERLIAN AND LUCAS

  
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CAM:sd  
Enclosure: Return Receipt Postcard

09/284147

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**HORMONAL COMPOSITION CONSISTING OF AN OESTROGEN  
COMPOUND AND OF A PROGESTATIONAL COMPOUND**

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**LABORATOIRE THERAMEX**

## ABSTRACT OF THE TECHNICAL CONTENT OF THE INVENTION

The present invention relates to the field of therapeutic chemistry and more particularly to the field of hormonal pharmaceutical techniques.

5

A more precise subject of the invention is new hormonal pharmaceutical compositions characterized in that they are formed by an estroprogestative combination constituted by an estrogen compound and a progestative compound, in combination or in a mixture with one or more pharmaceutically acceptable, inert, non toxic excipients,  
10 intended for administration by oral route.

The present invention also relates to the use of an estroprogestative mixture in which the estrogenic component and the progestative component are administered in a combined fashion. The combined combination can be prescribed in a continuous or  
15 intermittent fashion, with a view to the realisation of a composition intended for the treatment of estrogenic deficiencies, for the prevention of osteoporosis and cardiovascular illnesses in post-menopausal women or also for stopping ovulation in women during their period of ovarian activity.

20 A subject of the invention is also a preparation process for these new estroprogestative pharmaceutical compositions.

## **HORMONAL COMPOSITION CONSISTING OF AN OESTROGEN COMPOUND AND OF A PROGESTATIONAL COMPOUND**

5 The present invention relates to the field of therapeutic chemistry and more particularly to the field of hormonal pharmaceutical techniques.

10 A more precise subject of the invention is new pharmaceutical compositions formed by an estroprogestative combination with a view to the correction of estrogenic deficiencies in natural or artificial menopause or in order to stop ovulation in women during their period of ovarian activity.

15 In particular a subject of the invention is an estroprogestative combination, characterized in that it is constituted by unit doses containing the combination of a progestative and an estrogen, the two components being present simultaneously in each medicinal dose.

This combination is intended to be administered by oral route.

20 As is known, the life expectancy of women has passed in less than a century from 50 to 80 years, whilst the average age for the onset of the menopause has remained unchanged. Therefore, women spend a third of their life in a state of estrogenic deficiency which is the origin of the increase in risk of osteoporosis and cardiovascular illnesses.

25 Sequential replacement treatment for the menopause cures the climateric symptomology and prevents osteoporosis and the onset of illnesses. It creates artificial cycles which are followed by a withdrawal bleeding. This therapeutic schema quite particularly suits women for whom the menopause is recent but it is not always well accepted in the long term, which in part explains the poorer observance of treatment (DRAPIER FAURE E.; Gynécologie. 1992, 43: 271-280).

30

In order to overcome this drawback, combined combinations have been perfected where the two components are taken simultaneously, the progestative having the effect of permanently opposing the proliferative action of the estrogen on the endometrium,



by creating an atrophy of the endometrium and as a consequence, the absence of withdrawal bleeding (HARGROVE J.T., MAXSON W.S., WENTZ A.C., BURNETT L.S., *Obstet Gynecol*, 1989, 73: 606-612).

5 This "no periods" schema more particularly suits women for whom the menopause is already well in the past. It can be prescribed in courses of sequential combinations in order to improve the long-term observance of replacement hormone treatment for the menopause.

10 The dose of progestative to be used in a combined replacement treatment is in general deduced from that which is usually prescribed in sequential schemata. In the latter the dose chosen is that which gives over the long term less than 1% endometrial hyperplasia when the progestative is administered discontinuously, more than 10 days per cycle, in post-menopausal women under replacement estrogenotherapy  
15 (WHITEHEAD et al., *J. reprod. Med*, 1982, 27: 539-548, PATERSON et al, *Br Med J*, 1980, 22 March: 822-824).

In the combined treatment, these same progestatives were used at half the dose judged to be effective during a sequential treatment: this is the example of the micronized  
20 progesterone, didrogestrone (FOX H., BAAK J., VAN DE WEIJER P., AL-AZZAWI E., PATERSON M., JOHNSON A., MICHELL G., BARLOW D., FRANCIS R., 7th International Congress on the Menopause, Stockholm, 20-24 June 1993, abstr 119) and medroxyprogesterone acetate (BOCANERA R., BEN J., COFONE M., GUINLE I., MAILAND D., SOSA M., POUDES G., ROBERTI A.,  
25 BISO T., EZPELETA D., PUCHE R., TOZZINI R., 7th International Congress on the Menopause, Stockholm, 20-24 June 1993, abstr 40) which were used at doses of 100, 10 and 5 mg/day respectively, with encouraging results on the clinical and endometrial level.

Among the progestatives, nomegestrol acetate appeared to be one of the most  
30 effective. Nomegestrol acetate is a non-androgenic progestative derived from 19-nor progesterone, its use in sequential administration during the menopause at the dose of 5 mg/day, 12 days per cycle, in combination with different types of estrogens, allows endometrial hyperplasia to be prevented as shown by a multicentre study on 150

women for one year (THOMAS J.L., BERNARD A.M., DENIS C., 7th International Congress on the Menopause, Stockholm, 20-24 June 1993, abstr 372).

5 The absence of hyperplasia was confirmed in a study where the nomegestrol acetate was administered at the same dose, 14 days per cycle, in women treated with percutaneous estradiol (BERNARD A.M. et al. Comparative evaluation of two percutaneous estradiol gels in combination with nomegestrol acetate in hormone replacement therapy. XIV World Congress of Gynecology and Obstetrics, FIGO, Montreal, 24-30 September 1994).

10 The combined treatment is more often used in a continuous fashion, i.e. without interruption. However some people are in favour of using it in an intermittent fashion, for example 25 days per month (BIRKAUSER M. ET AL; Substitution hormonale: une indication bien posée et des schémas de traitement individuels sont déterminants pour le succès du traitement, Méd. et Hyg., 1995, 53: 1770-1773). The aim of the  
15 therapeutic interruption is to remove the inhibition exercised by the progestative on the synthesis of the estradiol and progesterone receptors and in this way to avoid the lowering of receptivity of the hormono-dependant tissues.

20 The progesterone used according to the present invention is nomegestrol acetate which is active by oral route.

The estrogen used is free or esterified estradiol, or equine conjugated estrogens which are presented according to a formulation which is active by oral route and in particular estradiol valerate.

25 Nomegestrol acetate and free or esterified estradiol or equine conjugated estrogens are administered in one of the forms which permit administration by oral route: gelatine capsules, capsules, pills, sachets of powder, tablets, coated tablets, sugar-coated tablets etc..

30 The present invention is characterized in that it is constituted by a new estroprogestative combination, which is active by oral route and administered in a combined manner. A subject of the present invention is also its use in the correction of estrogenic deficiencies, in the prevention of osteoporosis and cardiovascular illnesses in

post-menopausal women, or in stopping ovulation in women during their period of ovarian activity.

The compositions according to the invention based on nomegestrol and free or esterified estradiol or equine conjugated estrogens are administered in a continuous or intermittent fashion, from 21 to 25 days per month.

According to a particular implementation of the invention the compositions contain a quantity of nomegestrol acetate ranging from 1.5 to 3.75 mg and a quantity of free or esterified estradiol or equine conjugated estrogens ranging from 0.5 to 3 mg. Preferably, the optimal formulations contain 2.5 mg of nomegestrol acetate combined with : either 1.5 mg of free estradiol or 2 mg of estradiol ester or 0.625 mg of equine conjugated estrogens, per daily dose.

This combined administration method can have several therapeutic indications. In post-menopausal women, the estroprogestative combination is intended to compensate for the functional disorders brought about by hypoestrogenism of the menopause, while maintaining an atrophy of the endometrium and avoiding in a majority of them the appearance of withdrawal bleeding.

In women during the period of ovarian activity, young or in the years preceding the menopause, the cyclic administration of the hormonal combination is capable of stopping ovulation and of exercising a contraceptive effect insofar as it has been proved that nomegestrol is capable of stopping the ovulation peak of LH and FSH, starting from 1.25 mg/day (BAZIN B. et al, Effect of nomegestrol acetate, a new 19-norprogesterone derivative on pituitary ovarian function in women. Br. J. Obstet. Gynaecol., 1987, 94: 1199-1204). When the hormonal combination is given for a contraceptive purpose, the aim of nomegestrol acetate is to stop ovulation and for the estrogenic compound to compensate for hypoestrogenia and ensure a better control of the cycle.

A subject of the present invention is also a process for obtaining new pharmaceutical compositions.

The obtaining process according to the invention consists of mixing the active ingredients: nomegestrol acetate and free or esterified estradiol or equine conjugated estrogens with one or more pharmaceutically acceptable, non-toxic, inert excipients.

5

Among the excipients which can be mentioned are binding and solubilizing agents, compression agents, disintegration agents and slip agents.

This mixture can be subjected to direct compression or to several stages of compression in order to form tablets which, if desired, can have their surface protected by a film, by lacquering or coating. The production of tablets by direct compression  
10 allows a maximum reduction in the proportion of diluting agents, binding agents, disintegration agents and slip agents.

The production of gelatine capsules can be carried out by mixing the active ingredients with an inert diluant and a slip agent.

15 The tablets contain, in particular, mass diluting agents such as lactose, sorbitol for direct compression, marketed under the name NEOSORB 60, Palatinite which is a registered trademark for designating an equimolar mixture of the isomer of -D-glucopyranosido 1,6-mannitol and -D-glucopyranosido 1,6-glucitol crystallized with two molecules of water, mannitol, sorbitol or the mixture lactose/PVP sold under the  
20 name Ludipress.

The compression binding agents are in general microcrystalline celluloses such as those sold under the name AVICEL PH 101 or AVICEL PH 102.

The polyvinylpyrrolidone plays an important role and facilitates the agglomeration of the powders and the compressibility of the mass. To this end polyvinylpyrrolidones are  
25 used with a molecular weight comprised between 10000 and 30000 such as Povidone, Kollidon of a grade comprised between 12 and 30.

The mixture also contains slip or anti-electrostatic agents so that the powder does not agglomerate in the feed hoppers. In this respect, colloidal silicas can be mentioned which are sold under the name AEROSIL 100 or AEROSIL 200.

30 The mixture also contains disintegration agents which allow disintegration or crumbling which conforms to pharmaceutical standards. There can be mentioned as useful disintegration agents, polymers of cross-linked vinylpyrrolidones such as those sold under the names Polyplasdone or Polyclar AT, carboxymethylamidons such as

those sold under the names Amigel or Explotab, cross-linked carboxymethylcelluloses or croscarmelloses such as the compound sold under the name AC-DI-SOL>

In addition, the preparation contains lubrication agents which facilitate the compression and ejection of the tablet from the tablet compressing machine. There can be  
5 mentioned as lubrication agents, glycerol palmitostearate sold under the name Precirol, magnesium stearate, stearic acid or talc.

After compression the tablets can be coated in order to ensure their storage or to facilitate their deglutination.

The coating agents are either of cellulose origin such as cellulose phthalate (Sepifilm,  
10 Pharmacoat), or of polyvinyl origin of Sepifilm ECL type, or of saccharose origin such as the sugar for sugar-coating of Sepisperse DR, AS, AP OR K (coloured) type.

The tablets, whether coated or not, can, in addition, be surface or bulk coloured, by plant or synthetic colouring agents (for example chinolin yellow lacquer or E 104).

The proportions of the different constituents varies according to the type of tablet to  
15 be produced.

The content of active ingredients can vary from 1.5 to 3.75 mg for nomegestrol acetate and from 0.5 to 3 mg for free or esterified estradiol or for equine conjugated estrogens. The dilution agents vary from 20 to 75% of the total mass, the slip agents from 0.1 to 2% of the total mass, the compression binding agents vary from 2 to 20%, the  
20 polyvinylpyrrolidone from 0.5 to 15%, the disintegration agents vary from 2 to 5.5% for the cross-linked polyvinylpyrrolidone or the carboxymethylamidon, from 2.0 to 3.0% for the croscarmellose.

The quantities of lubricating agents vary as function of the type of agents from 0.1 to 3.0%.

25 The compositions according to the invention are intended to be administered once per day. However, depending on the therapeutic requirements, administration can be split up (twice per day) or on the other hand, repeated (two tablets per day).

The following examples illustrate the invention. They in no way limit it.

30

### **EXAMPLE I**

**Tablets with 4 mg of active ingredient**

Active ingredients:	- estradiol	1.5 mg
	- nomegestrol acetate	2.5 mg
Microcrystalline cellulose		22.4 mg
(marketed under the name AVICEL PH 102)		
5 Lactose		60 mg
Polyvinylpyrrolidone		8.4 mg
Colloidal silica		1.2 mg
Glycerol palmitostearate		3.6 mg
Colouring agent E.104		0.4 mg

10

for a tablet completed at an average weight of 100 mg.

## **EXAMPLE II**

15 **Study of the clinical tolerance during two continuous combined schemata of hormone replacement therapy for the menopause**

The pilot study is carried out over 24 weeks on two parallel groups subjected to treatments A and C:

### 20 **Treatment A**

- Nomegestrol acetate 2.5 mg/day every day + percutaneous 17 $\beta$ -estradiol 1.5 mg/day every day.
- The nomegestrol acetate is administered in the form of tablets and the percutaneous 17 $\beta$ -estradiol in the form of a gel.

### 25 **Treatment C**

- Nomegestrol acetate 2.5 mg/day every day + estradiol valerate 2 mg/day every day.
- The estradiol valerate is administered in the form of tablets.

The pilot study is intended to evaluate the endometrial clinical tolerance during the use of the two hormone replacement therapy schemata for the menopause so-called  
30 “without periods” combining in a continuous combined fashion treatment A or C. The endometrial clinical tolerance is evaluated from the presence or not of occurrences of vagina bleeding, their intensity, their frequency, from data acquired from endovaginal echographical examination etc..

Also, another aim of this study is to assess the general clinical tolerance (weight, blood pressure, mammary symptoms), biological tolerance (Formule Numeration Sanguine (blood count), glycemia, cholesterol...), as well as the observance of treatment.

5

The selection of subjects is carried out as a function of "inclusion" criteria. These criteria are to do:

**- with the menopause:**

10 women over 50 years old are included who have had a natural menopause expressed clinically by an amenorrhea greater than 12 months and less than 10 years, the women having had a natural menopause confirmed biologically by quantitative analysis of FSH (Follicle stimulating hormone) and estradiol (i.e. plasmatic FSH  $\geq 20$  IU/l, plasmatic E<sub>2</sub>  $\leq 0.11$  nmol/l).

15 **- with women:**

women who have not had hysterectomies are included, whose Quetelet's index (weight in kg/(height in m)<sup>2</sup>) is  $\leq 27$ , having had regular cycles before the menopause, having never received hormone replacement therapy for the menopause or having had a clinically well-tolerated hormone replacement therapy (absence of abnormal bleeding),  
20 interrupted for more than 6 weeks, presenting an endometrial thickness measured by endovaginal echography  $\leq 5$  mm, accepting the idea of hormone replacement therapy for the menopause, who would like a hormone therapy without periods, justifying an estroprogestative hormone therapy for at least 6 months, cooperative: accepting to conform to the requirements of the study, whose psychic and intellectual profile would  
25 allow one to suppose a good observance of the treatment, having a mammograph dating from less than a year from the date of inclusion.

At the start of treatment the patients undergo an inclusion consultation (C<sub>1</sub>) the purpose of which is to verify that the inclusion criteria have been respected, that the endovaginal echograph is normal and to obtain the written consent of the patient as  
30 regards participation.

The intermediate consultation (C<sub>2</sub>) takes place between the 9th and 11th week of treatment, the purpose of which is to verify mammary and endometrial clinical tolerance is good as regards the treatment.

Lastly, a final consultation (C<sub>3</sub>) takes place during the 24th week of treatment.

The patients who wish to continue the study can receive, for 24 additional weeks, the  
 5    estroprogestative treatment received during the study according to the same  
 therapeutic schema. The extension of the study thus allows a complete monitoring of  
 the study over 48 weeks.

## **ANALYSIS OF THE STUDY**

### 10    **RESULTS I**

The attached Tables I and II, reveal a difference in terms of the amenorrhea results (i.e.  
 no bleeding from 0 to 24 weeks) and of mammary and/or endometrial tolerance as a  
 function of the estrogen.

15

#### **TABLE I: Treatment A**

**Nomegestrol acetate + percutaneous 17 $\beta$ -estradiol**



Elapse since menopause ameno/month	Presence of HRT previously	Start of treatment	Duration of treatment weeks	Endometrial thickness before/after mm	COMMENTS
72	no	17.10.94	24 24 ext	2/2	amenorrhea endometrial thickness after 48 weeks of treatment = 2 mm
82	no	04.11.94	24 extension	3/3	amenorrhea
26	yes well tolerated	09.01.95	24 extension	3/3	amenorrhea
108	no	16.01.95	24 extension	1/4	amenorrhea
48	no	13.02.95	24	3/2	1 episode of bleeding at 42 days (a few drops) between the 1st and 6th weeks; breast tension and pain of minimal intensity from the 1st to the 22nd week (7 days/week) Extension not effected: did not pick up the treatment kit owing to holidays; following the same treatment outside protocol
24	no	10.03.95	24 extension	2/5	amenorrhea; breast tension and pain of slight intensity from the 6th to the 12th week (7 days/week)
55	yes well tolerated	20.03.95	24 extension	4/8	amenorrhea
27	yes well tolerated	08.05.95	24	3/5	amenorrhea Extension not effected: did not pick up the treatment kit owing to holidays; same treatment outside protocol
90	yes well tolerated	10.04.95	24 extension	4/4	amenorrhea
13	yes well tolerated	03.07.95	24 extension	1 pending	amenorrhea
99	yes well tolerated	24.04.95	24 extension	1/4	amenorrhea
21	yes well tolerated	26.06.95	24 extension	4 pending	amenorrhea
96	? ?	29.05.95	24 extension	2 pending	amenorrhea
65	yes well tolerated	10.05.95	24 extension	1/3	amenorrhea 10 episodes (4 days/week) of breast pains of minimal intensity
13	no	12.06.95	stopped at 6	3 not measured	continuous slight bleeding from the 5th week until treatment stopped
38	yes well tolerated	10.07.95	24 extension	2 pending	amenorrhea

HRT = hormone replacement therapy

EXTENSION = 24 additional weeks of treatment

## **CONCLUSION**

Of the 16 patients treated:

- 1 left the study, i.e. 6%
- 5 • 15 finished the study after 24 weeks, i.e. 94%
- 13 extensions of treatment (24 additional weeks) 81%

The two extensions which did not take place were due to reasons which were independent of the treatment, the patients continued the same treatment outside the treatment protocol.

10

### **TABLE II: Treatment C**

**Nomegestrol acetate + estradiol valerate per os**

Elapse since menopause ameno/month	Presence of HRT previously	Start of treatment	Duration of treatment weeks	Endometrial thickness before/after mm	COMMENTS
12	no	21.11.94	stopped at 8	4/* *not measured at the control echo	amenorrhea, breast tension and pain of slight intensity from the 2nd week to the 8th week; STOPPED owing to high abdomino-pelvic tension due to increased size of a sub-serous fibroma: echo before treatment = 37 mm; echo after 8 weeks of treatment = 75 mm
46	yes well tolerated	28.11.94	24 extension	3/6	1 episode of bleeding of 31 days between the 5th and the 9th week (a few drops)
31	yes well tolerated	28.11.94	stopped at 10	2 not measured	amenorrhea, STOPPED for insomnia, nervousness and pain in lower limbs
60	yes well tolerated	30.01.95	24 extension	4/2	amenorrhea, breast tension and pain of slight intensity from the 2nd week of treatment until the 19th week
121	yes well tolerated	06.02.95	stopped at 9	3 not measured	1 episode of bleeding of 16 days of low intensity from the 6th week breast tension of minimal intensity from the 2nd week to the 8th week; STOPPED owing to headaches, night sweats and a blood pressure of 17/10
36	yes well tolerated	06.02.95	24	4*	amenorrhea, 23 episodes of breast tension of high intensity of 7 days/week; extension impossible as estrogen dose reduced due to breast tension
47	yes well tolerated	27.02.95	24 extension	2/2	amenorrhea; 6 episodes of breast tension and pain of slight intensity (2 days/week)
62	no	13.03.95	24 extension	1/4	amenorrhea
74	yes well tolerated	20.03.95	24 extension	4/6	amenorrhea
110	yes well tolerated	08.05.95	stopped at 18	2 not measured	amenorrhea until 12 weeks then 1 episode of bleeding of 41 days until treatment stopped
16	yes well tolerated	22.05.95	24 extension	1 pending	amenorrhea
60	yes well tolerated	12.06.95	stopped at 16	2/3	4 episodes of bleeding of low intensity (6 days/week) 5 episodes of breast pain of medium intensity (6 days/week); STOPPED owing to mastitis and a breast abscess
11	no	19.06.95	24 extension	2 pending	1 episode of bleeding 12 days (a few drops)
38	yes well tolerated	03.07.95	stopped at 4	5 not measured	1 episode of bleeding of 11 days until treatment stopped of low intensity

## **CONCLUSION**

Of the 14 patients treated

- 6 left the study i.e. 43%
- 5 • 8 finished the study after 24 weeks, i.e. 57%
- 7 extensions of treatment (24 additional weeks), i.e. 50%

% of amenorrhea (i.e. no occurrence of bleeding for 24 weeks) = 43%

## 10 **RESULTS II**

### **A - OBSERVANCE**

While no significant difference exists between the two groups A and C, a lower  
15 number of days when treatment lapsed over all the 24 weeks of the study was observed  
with treatment A.

### **B - ENDOMETRIAL CLINICAL TOLERANCE**

The most significant absolute percentage of amenorrhea is found in group A, the  
20 difference being significant in phase II (13th to 24th week of treatment) As has been  
described in the literature, the percentage of amenorrhea increases with time; therefore,  
for group C, it is 35.3% during the first 12 weeks of treatment, and 46.1% during the  
last 12 weeks.

25 The attached tables III, IV and V illustrate the results obtained.

## **AMENORRHEA**

Analysis regarding treatment

**TABLE III: Phase I / weeks 1 to 12**

		TOTAL		GROUP A		GROUP C		P
		N	%	N	%	N	%	
Amenorrhea	yes	19	37.2 %	9	50 %	6	35.3 %	0.316
	no	32	62.7 %	9	50 %	11	64.7 %	
Spotting	yes	32	62.7 %	9	50 %	11	64.7 %	0.316
	no	19	37.2 %	9	50 %	6	35.3 %	

*None of the patients suffered from metrorrhagias during phase I*

		TOTAL		GROUP A		GROUP C		P
		N	avg±week (min:max)	N	avg±week (min:max)	N	avg±week (min:max)	
Total duration of bleeding (days)		51	9.1±2.1 0:70	18	9.1±4.5 0:70	17	8.9±2.7 0:31	0.412
Average intensity		51	0.8±0.1 0:2	18	0.7±0.2 0:2	17	0.9±0.2 0:2.5	0.446
Number of weeks of bleeding		51	2.1±0.4 0:10	18	1.8±0.7 0:10	17	2.1±0.5 0:7	0.552
Total number of episodes		51	1.2±0.2 0:6	18	1±0.3 0:4	17	1.2±0.4 0:6	0.434

5

**TABLE IV: Phase II / weeks 13 to 24**

		TOTAL		GROUP A		GROUP C		P
		N	%	N	%	N	%	
Amenorrhea	yes	20	42.5 %	12	66.7 %	6	46.1 %	0.006
	no	27	57.4 %	6	33.3 %	7	53.8 %	
Spotting	yes	27	57.4 %	6	33.3 %	7	53.8 %	0.006
	no	20	42.5 %	12	66.7 %	6	46.1 %	

*None of the patients suffered from metrorrhagias during phase II*

	TOTAL		GROUP A		GROUP C		P
	N	avg±week (min:max)	N	avg±week (min:max)	N	avg±week (min:max)	
Total duration of bleeding (days)	47	13.9±3.1 0:75	18	6.2±3.3 0:42	13	18.5±7.7 0:75	0.013
Average intensity	47	0.9±0.1 0:2	18	0.6±0.2 0:2.33	13	1.0±0.3 0:2	0.055
Number of weeks of bleeding	47	2.9±0.6 0:12	18	1.3±0.6 0:9	13	3.3±1.2 0:11	0.007
Total number of episodes	47	1.3±0.3 0:7	18	0.6±0.3 0:6	13	1.1±0.5 0:7	0.002

TABLE V

$\Delta$ % between C1 and C3	TOTAL		GROUP A		GROUP C		P
	N	avg±week (min:max)	N	avg±week (min:max)	N	avg±week (min:max)	
A.L.A.T.	43	-23.1%±5.2% -88.2%:85.7%	17	-19.0%±3.8% -50%:7.1%	11	-31.2%±13.2% -88.2%:29.4%	0.936
F.S.H.	45	-74.1%±4.9% -98.4%:69.2%	17	-72.2%±5.5% -98%:24.8%	12	-78.2%±9.6% -98.4%:22.8%	0.405
Estradiol (pg/ml)	40	432%±68.5% -54%:1640%	15	567%±118.7% -16%:1320%	10	609%±163.6% -54.3%:1640%	0.036

A.L.A.T. = Alanine Aminotransferase Transaminase

F.S.H. - Follicle Stimulating Hormone

The relative variation in estradiol level is quite important in the two groups ( $\Delta\%$  = 567% in group A and 609% in group c),  $p = 0.04$

Table VI illustrates another study which was carried out. In this other study, it is interesting to note that with norgestrol acetate, the percentage of patients with absolute amenorrhea (including all forms of estrogenotherapy) is greater from the 3rd month of treatment: 42.5% against 33.3%. In the treatment mentioned above, one must wait until the 12th month of treatment to obtain this percentage of 42% of patients with amenorrhea which was obtained here from 3 months, whilst the populations are comparable in terms of age, weight and length of time since the menopause. In addition, there exists in the previous study, an estrogen effect which is not found in this other study. On the other hand, this study reveals a dosage effect of progestative during the last 9 months of treatment (the lower the dose of progestative the better the cycle is controlled).

Finally, it is interesting to note that no correlation exists between the existence of an amenorrhea at 6 months and the endometrial thickness measured by endovaginal

echography; this thickness varying by +1.6mm on average over 6 months in the 2 treatment groups.

**TABLE VI**  
**Characteristics of the patients**

	TOTAL		GROUP A		GROUP C		P
	N	avg±week (min:max)	N	avg±week (min:max)	N	avg±week (min:max)	
Age	54	54.9±0.6 45:64	19	53.9±0.8 48:60	17	54.9±1.1 45:63	0.321
Age of amenorrhea (months)	54	56.1±5.0 7:134	19	48.5±7.7 12:108	17	50.7±7.7 11:121	0.309
Weight (kg)	54	60±1.1 42:85	19	61.6±1.2 51:70	17	60.8±2.2 12:76	0.149
Height	54	1.61±0.01 1.47:1.75	19	1.62±0.01 1.57:1.75	17	1.61±0.02 1.47:1.75	0.449
Quetelet's index (kg/m <sup>2</sup> )	54	23.1±0.4 17.1:31.2	19	23.3±0.4 19.7:25.6	17	23.5±0.7 17.5:28.7	0.3182
SBP (mmHg)	54	123.9±1.5 100:140	19	127.9±2.5 110:140	17	121.2±2.5 110:140	0.136
DBP (mmHg)	54	74.6±1.2 60:90	19	76.8±2 60:90	17	73.5±2.3 60:90	0.386

H.R.T.	TOTAL		GROUP A		GROUP C		P
	N	%	N	%	N	%	
Previous HRTs							
yes	17	31.5 %	9	47.4 %	14	82.3 %	0.046
no	37	68.5 %	10	52.6 %	8	17.7 %	

**HRT = Hormone Replacement Therapy**

10 **SBP = Systolic Blood Pressure**

**DBP = Diasystolic Blood Pressure**

## CLAIMS

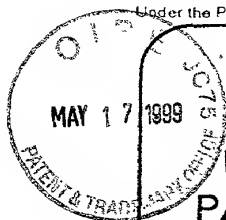
1. New hormonal pharmaceutical compositions characterized in that they are formed by a combined estroprogestative combination which allows the simultaneous  
5 administration of an estrogenic component and a progestative component, in combination or as a mixture with one or more pharmaceutically acceptable, inert, non-toxic excipients, intended for administration by oral route.
2. Estroprogestative compositions according to claim 1, in which the estrogen is free  
10 or esterified estradiol or equine conjugated estrogens.
3. Estroprogestative compositions according to claim 1 or claim 2, in which the estrogen is an ester of estradiol and in particular estradiol valerate.
4. Estroprogestative compositions according to one of claims 1 to 3, in which the free  
15 or esterified estradiol or an equine conjugated estrogen is present at a dose ranging from 0.5 to 3 mg per unit dose.
5. Estroprogestative compositions according to claim 4, in which the free estradiol is  
20 preferably present at a dose of 1.5 mg per unit dose.
6. Estroprogestative compositions according to claim 4, in which the ester of estradiol is preferably present at a dose of 2 mg per unit dose.
7. Estroprogestative compositions according to claim 4, in which the equine  
25 conjugated estrogen is preferably present at a dose of 0.625 mg per unit dose.
8. Estroprogestative compositions according to claim 1, in which the progestative is nomegestrol acetate.  
30
9. Estroprogestative compositions according to claims 1 and 8, in which the nomegestrol acetate is present at a dose ranging from 1.5 to 3.75 mg per unit dose.



10. Estroprogestative compositions according to claim 9, in which the nomegestrol acetate is preferably present at a dose of 2.5 mg per unit dose.
11. Use of an estroprogestative mixture according to one of claims 1 to 10, with a  
5 view to the production of a medicament intended for the treatment of estrogenic deficiencies in post-menopausal women.
12. Use of an estroprogestative mixture according to one of claims 1 to 10, with a  
10 view to the production of a medicament intended for the prevention of osteoporosis and cardiovascular illnesses in post-menopausal women.
13. Use of an estroprogestative mixture according to one of claims 1 to 10, with a  
view to the production of a medicament intended to be administered to women during  
their period of ovarian activity in order to stop ovulation.  
15
14. Use of an estroprogestative mixture according to one of claims 1 to 10 with a view  
to the production of a medicament intended to be administered in a continuous or  
intermittent fashion.
- 20 15. A preparation process for new estroprogestative compositions according to one of  
claims 1 to 10, which consists of mixing the estrogenic active ingredient and the  
progestative active ingredient with one or more pharmaceutically acceptable, non-  
toxic, inert excipients.

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## DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration OR ☐ Declaration  
Submitted Submitted after  
with Initial Filing Initial Filing

Attorney Docket Number	GEI-067
First Named Inventor	LANQUETIN et al.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HORMONAL COMPOSITION CONSISTING OF AN OESTROGEN COMPOUND  
AND OF A PROGESTATIONAL COMPOUND

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR

☐ was filed on (MM/DD/YYYY)

October 8, 1997

as United States Application Number or PCT International

Application Number

PCT/FR97/01792

and was amended on (MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56

I hereby claim foreign priority benefits under Title 35 United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
96/12239	FRANCE	10/08/96	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PCT/FR97/01792	FRANCE	10/08/97	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto

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Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto

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I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
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Jordan B. Bierman	18,629		
Donald C. Lucas	31,275		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

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		ZIP	10016
Country	U.S.A.	Telephone	(212) 661-8000
		Fax	(212) 661-8002

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	MICHEL	Middle Initial		Family Name	LANQUETIN	Suffix e.g. Jr.	
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature	PARIS Jacques				Date	04.05.1998	
Residence: City			State	Country		Citizenship	French
Post Office Address: Le Clos de Cimiez, Bât. E-Porte 1, 31 avenue Cap-de-Croix							
Post Office Address:							
City	NICE <i>PRX</i>	State		Zip	F-06100	Country	FRANCE
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	JEAN-LOUIS <i>3-0</i>	Middle Initial		Family Name	THOMAS	Suffix e.g. Jr.	
Inventor's Signature	THOMAS Jean Louis				Date	04.05.1998	
Residence: City			State	Country		Citizenship	French
Post Office Address: 16 rue Gabriel Peri							
Post Office Address: <i>PRX</i>							
City	CHARENTON-LE-PON	State		Zip	F-94220	Country	FRANCE
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City			State	Country		Citizenship	
Post Office Address:							
Post Office Address:							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence: City			State	Country		Citizenship	
Post Office Address:							
Post Office Address:							
City		State		Zip		Country	

☐ Additional inventors are being named on supplemental sheet(s) attached hereto

## CLAIMS

1. Hormonal pharmaceutical compositions characterized in that they are formed by a combined estroprogestative combination which allows the simultaneous administration of an estrogenic component and a progestative component, derived from 19-nor progesterone in combination or admixed with one or more pharmaceutically acceptable, inert, non-toxic excipients, intended for administration by oral route.
2. Estroprogestative compositions according to claim 1, in which the estrogen is free or esterified estradiol or equine conjugated estrogens.
3. Estroprogestative compositions according to claim 1 or claim 2, in which the estrogen is an ester of estradiol and in particular estradiol valerate.
4. Estroprogestative compositions according to one of claims 1 to 3, in which the free or esterified estradiol or an equine conjugated estrogen is present at a dose ranging from 0.5 to 3 mg per unit dose.
5. Estroprogestative compositions according to claim 4, in which the free estradiol is preferably present at a dose of 1.5 mg per unit dose.
6. Estroprogestative compositions according to claim 4, in which the ester of estradiol is preferably present at a dose of 2 mg per unit dose.
7. Estroprogestative compositions according to claim 4, in which the equine conjugated estrogen is preferably present at a dose of 0.625 mg per unit dose.
8. Estroprogestative compositions according to claim 1, in which the progestative is nomegestrol acetate.
9. Estroprogestative compositions according to claims 1 and 8, in which the nomegestrol acetate is present at a dose ranging from 1.5 to 3.75 mg per unit dose.

10. Estroprogestative compositions according to claim 9, in which the nomegestrol acetate is preferably present at a dose of 2.5 mg per unit dose.

11. Use of an estroprogestative mixture according to one of claims 1 to 10, intended for the production of a medicament intended for the treatment of estrogenic deficiencies in post-menopausal women.

12. Use of an estroprogestative mixture according to one of claims 1 to 10, intended for the production of a medicament intended for the prevention of osteoporosis and cardiovascular illnesses in post-menopausal women.

13. Use of an estroprogestative mixture according to one of claims 1 to 10, intended for the production of a medicament intended to be administered to women during their period of ovarian activity in order to stop ovulation.

14. Use of an estroprogestative mixture according to one of claims 1 to 10 intended for the production of a medicament intended to be administered in a continuous or intermittent fashion.

15. A preparation process for new estroprogestative compositions according to one of claims 1 to 10, which consists of mixing the estrogenic active ingredient and the progestative active ingredient with one or more pharmaceutically acceptable, non-toxic, inert excipients.